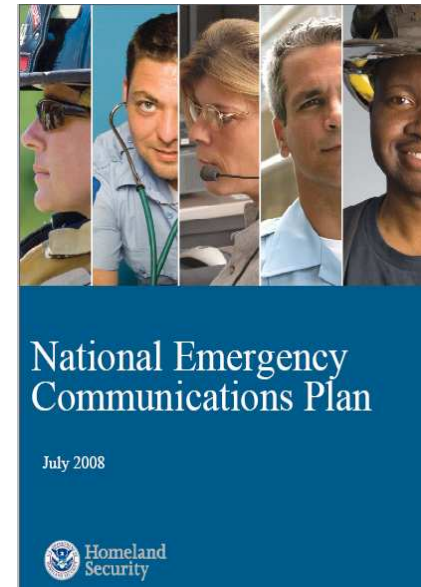


# **ARIZONA'S NECP GOAL TWO PERFORMANCE ASSESSMENT GUIDE**



***Michael Todd***  
***Regional Interoperability Manager***  
***Public Safety Interoperable Communications Office***

**GITA** ★  
Government Information  
Technology Agency  
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# BACKGROUND

- **NECP = National Emergency Communications Plan**
- **Overarching Objective - Emergency response personnel can communicate as needed, on demand, and as authorized at all levels of government across all disciplines.**
- **PSCC approved “Arizona’s NECP Goal Two Methodology” on July 20, 2010 covering two areas: (1) Capability Data and (2) Performance Data**
- **PSIC has developed a more detailed Performance Assessment Guide to help counties prepare for their Goal Two assessments.**



# PURPOSE AND SCOPE

- The Performance Guide was developed based on our experience with NECP Goal One Assessments – which were judged based on similar criteria.
- The Performance Guide provides information regarding the collection of NECP Goal Two Performance Data
- NECP Goal Two: By 2011, 75 percent of non-UASI jurisdictions are able to demonstrate response-level emergency communications within one hour for routine events involving multiple jurisdictions and agencies



# DEFINITIONS

- **Non-UASI Jurisdiction**

- State of Arizona has 13 non-UASI jurisdictions to which this Guide applies

- **Response-level Emergency Communications**

- Defined by NECP as the capacity of primary leadership personnel to manage resources and make timely decisions during multi-agency incident without technical or procedural communication impediments

- **Routine Event - can be planned event, but must:**

- Involve multiple jurisdictions and responder disciplines
- Be managed under NIMS compliant ICS structure

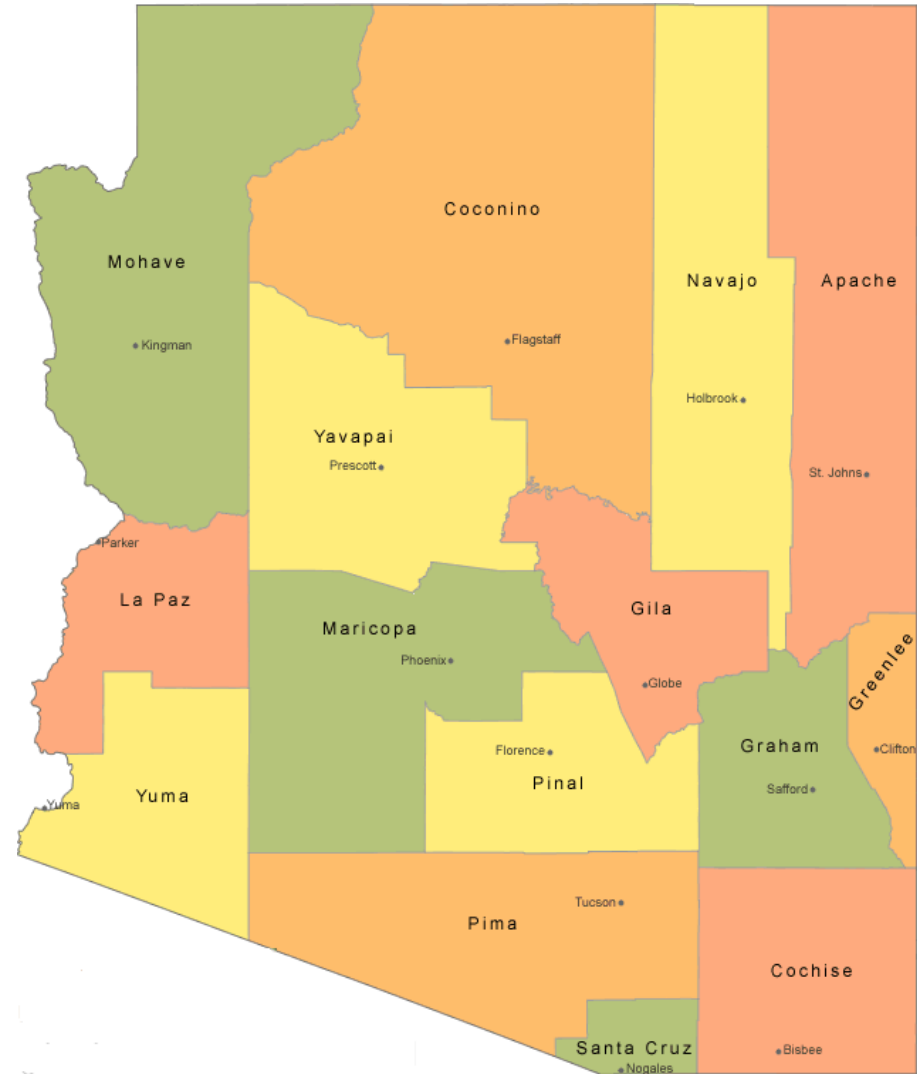


# PERFORMANCE ASSESSMENT PHASES

- **The Performance Assessment process involves four Phases**
  1. Event Selection
  2. Pre-Planning
  3. Event Observation
  4. Completion of Assessment Documentation

## • Event Selection

- Observation and Assessment conducted on a county-by-county basis (13 remaining non-UASI)
- Each county to submit 2 or 3 possible planned events
- PSIC Office to publish list of events to be assessed statewide (one per county)





# GUIDANCE FOR PHASE I

## • Event Selection Guidelines

- Multiple jurisdictions and disciplines required to participate in the event and be managed under NIMS compliant ICS
- Example of Events: sporting, parades, carnivals, marathons, college graduations, air shows, festivals...
- County to identify 2 or 3 possible events for observance between 12/15/2010 and 5/31/2011 (expedited request required for events proposed in Dec 2010/Jan 2011)
- County to submit Event Selection Form by 12/3/10
- Note: Counties may “combine efforts” if consistent with normal response plans and at least 2 agencies from each county participate
- PSIC Office to post Selected Events List by 12/15/10



## PHASE II – PRE-PLANNING

### • Pre-Planning

- A Point of Contact (POC) must be designated by the county to coordinate local Performance measurement efforts
- If no POC is designated by the county, responsibility will default to the County Emergency Manager
- PSIC Office is available to help counties with pre-planning for their events





# GUIDANCE FOR PHASE II

## • Pre-Planning Guidelines

- POC selection due to PSIC office by 12/22/2010
- Completed CASM Import Spreadsheets due to PSIC Office 30 Calendar Days prior to the Event (*template forms available on PSIC website*)
- Note: PSIC Office will import Spreadsheet data into CASM and generate forms: ICS-217a AND TICP Section 3 and Appendixes A-E
- County TICP sections 1 and 2 or equivalent Policies/Procedures due to PSIC 5 Business Days prior to the Event
- Draft IAP due to PSIC 5 Business Days prior to Event, includes ICS 202, 203, 204(s), 205, 206, 207, other ICS forms relevant to event



# PHASE III – EVENT OBSERVATION

- **Event Observation**

- PSIC Office will observe and/or Help with the Selected Events





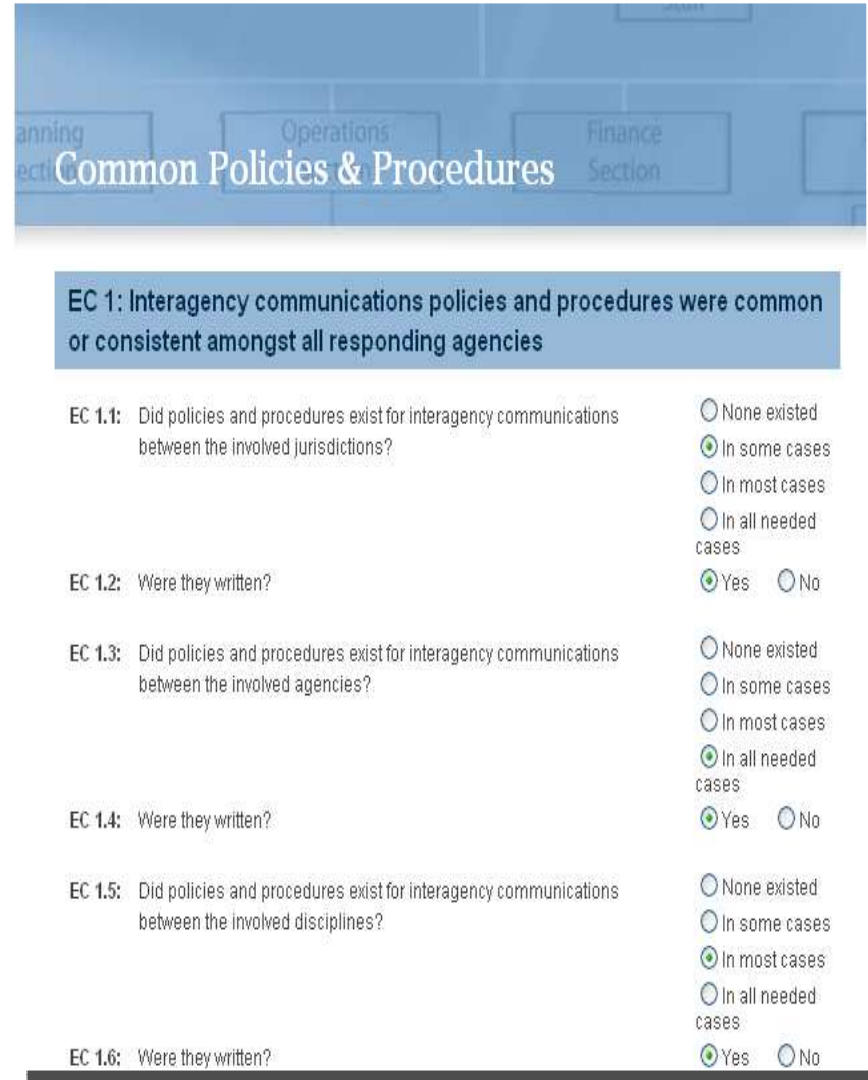
# GUIDANCE FOR PHASE III

## • Event Observation Guidelines

- Events to occur between 12/15/10 and 5/31/11
- PSIC to select the 2-5 member Observation team (to include PSIC staff); team members to be well-versed in NECP & interoperable comms.
- County Performance to be assessed by Observation Team using latest version of NECP Goal 2 Response Level Emergency Communications Observational Elements (*current version attached to Guide*)
- County to provide Observation Team full access to Event facilities, participants, leadership, and documentation
- Event Assessment POC to be selected by PSIC and County Emergency Manager – often COML; usually not same as County POC involved in Pre-Planning

## • Completion of Assessment Documentation

- Part of the After Action Process (AAP)
- Session to be conducted by PSIC Office with local staff
- Complete the OEC Performance reporting tool



Common Policies & Procedures

EC 1: Interagency communications policies and procedures were common or consistent amongst all responding agencies

EC 1.1: Did policies and procedures exist for interagency communications between the involved jurisdictions?

☐ None existed  
☒ In some cases  
☐ In most cases  
☐ In all needed cases

EC 1.2: Were they written?

☒ Yes ☐ No

EC 1.3: Did policies and procedures exist for interagency communications between the involved agencies?

☐ None existed  
☐ In some cases  
☐ In most cases  
☒ In all needed cases

EC 1.4: Were they written?

☒ Yes ☐ No

EC 1.5: Did policies and procedures exist for interagency communications between the involved disciplines?

☐ None existed  
☐ In some cases  
☒ In most cases  
☐ In all needed cases

EC 1.6: Were they written?

☒ Yes ☐ No



# GUIDANCE FOR PHASE IV

- **Completion of Assessment Documentation Guidelines**

- Upon Event completion, Observation Team to meet to generate report of observations and complete OEC performance reporting tool
- Draft report provided to County POC within 60 Calendar Days of Event
- Review of report draft with local staff upon request
- County to complete report review and comment within 15 Business Days
- PSIC Office to review any comments and ensure report clarity & accuracy



## GUIDANCE FOR PHASE IV, *cont.*

- **Completion of Assessment Documentation Guidelines, *cont.***

- Final report sent to AZDOHS and County Emergency Manager (labeled 'For Official Use Only') within 30 Calendar Days after County's review period
- PSCC to approve final Performance document submission to OEC.
- PSIC will submit required information to Federal DHS OEC per federal deadlines



# SUMMARY OF KEY DATES

## Phase I: Event Selection

12/3/10 County POC Submits Event Selection Form

12/15/10 PSIC Publishes List of Selected Events

## Phase II: Pre-Planning

12/22/10 County Identifies POC for Assessment

30 Calendar Days Prior to Selected Event - County submits CASM Import spreadsheets

5 Business Days Prior to Selected Event - County submits Sections 1 & 2 of County's TICP or equivalent PSPs

5 Business Days Prior to Selected Event - County submits Draft Incident Action Plan (IAP)



## SUMMARY OF KEY DATES, *cont.*

### Phase III: Event Observation

12/15/10 to 5/31/11 Event Observations Conducted

### Phase IV: Completion of Assessment Documentation

60 Calendar Days after Event - PSIC to Send Draft Report to County POC

15 Business Days after Receipt Draft Report - County Submits Written Comments

30 Calendar Days after Comment Period - PSIC to send Final Report to County POC & AZDOHS

Per Federal Deadlines - PSIC to Send Report or Summary Information to Federal DHS OEC





# FURTHER DISCUSSION

## QUESTIONS?

Contact: Michael Todd  
[mtodd@azgita.gov](mailto:mtodd@azgita.gov); 623-252-2339